

Calendar No. 946

106TH CONGRESS
2D SESSION

S. 1495

[Report No. 106-496]

To establish, wherever feasible, guidelines, recommendations, and regulations that promote the regulatory acceptance of new and revised toxicological tests that protect human and animal health and the environment while reducing, refining, or replacing animal tests and ensuring human safety and product effectiveness.

IN THE SENATE OF THE UNITED STATES

AUGUST 4, 1999

Mr. DEWINE (for himself, Mr. SMITH of New Hampshire, Mrs. MURRAY, Mr. SANTORUM, Mrs. BOXER, and Mr. ABRAHAM) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

OCTOBER 11 (legislative day, SEPTEMBER 22), 2000

Reported by Mr. JEFFORDS, with an amendment

[Strike out all after the enacting clause and insert the part printed in *italic*]

A BILL

To establish, wherever feasible, guidelines, recommendations, and regulations that promote the regulatory acceptance of new and revised toxicological tests that protect human and animal health and the environment while reducing, refining, or replacing animal tests and ensuring human safety and product effectiveness.

1 *Be it enacted by the Senate and House of Representa-*
 2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “ICCVAM Authoriza-
 5 tion Act of 1999”.

6 **SEC. 2. INTERAGENCY COORDINATING COMMITTEE ON THE**
 7 **VALIDATION OF ALTERNATIVE METHODS.**

8 (a) IN GENERAL.—The Interagency Coordinating
 9 Committee on the Validation of Alternative Methods (re-
 10 ferred to in this Act as “ICCVAM”) shall be sustained
 11 as a permanent standing committee and continued to be
 12 administered by the National Institute of Environmental
 13 Health Sciences. The purposes of ICCVAM shall be to—

14 (1) increase the efficiency and effectiveness of
 15 Federal agency test method review;

16 (2) eliminate duplicative efforts and share expe-
 17 riences across Federal regulatory agencies;

18 (3) optimize utilization of scientific expertise
 19 outside the Federal Government;

20 (4) ensure that new test methods meet the
 21 needs of Federal agencies; and

22 (5) reduce, refine, and replace the use of ani-
 23 mals in testing.

1 (b) COMPOSITION.—ICCVAM shall be comprised of
 2 a representative from each of the following agencies and
 3 organizations:

4 (1) Agency for Toxic Substances and Disease
 5 Registry.

6 (2) Consumer Product Safety Commission.

7 (3) Department of Agriculture.

8 (4) Department of Defense.

9 (5) Department of Energy.

10 (6) Department of the Interior.

11 (7) Department of Transportation.

12 (8) Environmental Protection Agency.

13 (9) Food and Drug Administration.

14 (10) National Institute for Occupational Safety
 15 and Health.

16 (11) National Institutes of Health.

17 (12) National Cancer Institute.

18 (13) National Institute of Environmental
 19 Health Sciences.

20 (14) National Library of Medicine.

21 (15) Occupational Safety and Health Adminis-
 22 tration.

23 (16) Any other agency that develops, employs,
 24 or regulates the use of animals in toxicity testing.

25 (c) SCIENTIFIC ADVISORY COMMITTEE.—

(1) ESTABLISHMENT.—In addition, the National Institute of Environmental Health Sciences shall establish a Scientific Advisory Committee to assist ICCVAM and the National Institute of Environmental Health Sciences. The Committee shall be composed of at least one knowledgeable representative having a history of expertise, development, or evaluation in alternatives to animal toxicological tests, from each of the following interests:

(A) The personal care, pharmaceutical, industrial chemicals, agriculture, and any other regulated industry.

(B) A national animal protection organization established under section 501(c)(3) of the Internal Revenue Code of 1986.

(2) MEMBERSHIP.— The National Institute of Environmental Health Sciences shall also invite to be members of the Scientific Advisory Committee representatives from other stakeholder organizations such as:

(A) An academic institution.

(B) A State government agency.

(C) An international regulatory body.

1 (D) A corporation developing or marketing
2 alternative test methodologies including con-
3 tract laboratories.

4 (d) DUTIES.—ICCVAM shall carry out the following
5 duties consistent with the protection of public health and
6 the environment and for the purpose of reducing, refining,
7 and replacing the use of animals in acute and chronic toxicological tests:

9 (1) Review and evaluate existing and new alter-
10 native methods, including batteries of tests and test
11 screens, which may be acceptable for specific regu-
12 latory uses, including the coordination of technical
13 reviews of proposed new or revised test methods of
14 interagency interest.

15 (2) Facilitate interagency and international
16 harmonization of acute chronic toxicological test pro-
17 tocols that encourage the reduction, refinement, or
18 replacement of animal tests.

19 (3) Facilitate, promote, and provide guidance
20 on development of validation criteria and processes
21 for new methods and help promote the acceptance of
22 such methods and awareness of accepted methods by
23 Federal agencies and other stakeholders.

24 (4) File formal recommendations with each ap-
25 propriate Federal agency identifying specific agency

1 guidelines, recommendations, or regulations for each
2 new test, battery of tests, test screen, or end point
3 reviewed by ICCVAM that may be appropriate for
4 the reduction, refinement, or replacement of an ani-
5 mal test required or recommended by that Federal
6 agency for compliance with that agency's specific
7 statutes, regulations, or guidelines. Tests may be
8 recommended for a certain class of chemicals within
9 that regulatory framework.

10 (5) Consider for review and evaluation, peti-
11 tions received from the public which identify a spe-
12 cific regulation, recommendation, or guideline, and
13 which recommend alternatives and provide scientific
14 evidence of the acceptability of the alternatives for
15 the purpose of carrying out the regulatory mandate
16 in question.

17 (6) Make final recommendations to agencies
18 and responses from agencies available to the public.

19 (7) Make an annual report to be made available
20 to the public on its progress to promote the regu-
21 latory acceptance of new and revised toxicological
22 tests.

23 **SEC. 3. APPLICATION.**

24 This Act shall not apply to regulations, guidelines,
25 or recommendations related to medical research. The term

1 “medical research” means research, including research
2 performed using biotechnology, related to the causes, diag-
3 nosis, treatment, or control of physical or mental impair-
4 ments of humans or animals. The term does not include
5 the testing of a product to determine its toxicity for the
6 purpose of complying with protocols, recommendations, or
7 guidelines for testing required, recommended, or accepted
8 by a Federal regulatory agency for a product introduced
9 in commerce.

10 **SEC. 4. FEDERAL AGENCY ACTION.**

11 (a) IDENTIFICATION OF TESTS.—Within 180 days
12 after the date of enactment of this Act, each Federal agen-
13 cy authorized to carry out a regulatory program which re-
14 quires or recommends acute or chronic toxicological test-
15 ing shall identify any regulation or industry-wide guideline
16 which specifically, or in practice requires, recommends, or
17 encourages the use of an animal acute or chronic toxicological test and shall forward to ICCVAM a list of these
18 regulations, guidelines, and recommendations along with
19 the test or tests recommended or required.

21 (b) ALTERNATIVES.—Each Federal agency shall pro-
22 mote and encourage the development and use of alter-
23 natives to animal tests, including batteries of tests and
24 test screens, where appropriate, for the purpose of com-
25 plying with Federal regulations, guidelines, or rec-

1 ommendations, in each instance, and for each chemical
2 class, for which such tests are found to be effective for
3 generating data at least equivalent for hazard identifica-
4 tion or dose-response assessment purposes to the method
5 established under the current regulatory scheme.

6 (c) TEST VALIDATION.—Each Federal agency shall
7 ensure that any new acute or chronic toxicity test, includ-
8 ing animal tests and alternatives, is determined to be valid
9 for its proposed use prior to requiring, recommending, or
10 encouraging its application.

11 (d) REVIEWS.—Each Federal agency shall review any
12 formal recommendations from ICCVAM to promulgate
13 new regulations or draft new guidelines or recommenda-
14 tions to promote the ICCVAM recommendations and no-
15 tify ICCVAM in writing of its findings within 180 days
16 of receipt of the recommendations.

17 (e) RECOMMENDATION ADOPTION.—Each Federal
18 agency shall adopt the ICCVAM recommendations unless
19 each individual Federal agency determines that—

20 (1) the alternative is not adequate in terms of
21 biological relevance for the regulatory goal author-
22 ized by that agency;

23 (2) the alternative does not generate data at
24 least equivalent for the appropriate hazard identi-

1 fication or dose-response assessment purpose as the
 2 method recommended by that agency;

3 ~~(3) that agency does not employ, recommend,~~
 4 ~~or require testing for that class of chemical or for~~
 5 ~~the recommended end point; or~~

6 ~~(4) the new test method is unacceptable for sat-~~
 7 ~~isfactorily fulfilling the test needs for that particular~~
 8 ~~agency and its respective congressional mandate.~~

9 **SECTION 1. SHORT TITLE.**

10 *This Act may be cited as the “ICCVAM Authorization*
 11 *Act of 2000”.*

12 **SEC. 2. DEFINITION.**

13 *In this Act the term “alternative test method” means*
 14 *a test method that—*

15 *(1)(A) reduces the number of animals required;*

16 *(B) refines procedures to lessen or eliminate pain*
 17 *or distress to animals, or enhances animal well-being;*
 18 *or*

19 *(C) replaces animals with non-animal systems or*
 20 *1 animal species with a phylogenetically lower ani-*
 21 *mal species, such as replacing a mammal with an in-*
 22 *vertebrate; and*

23 *(2) includes any new or revised test method that*
 24 *is developed for use after the date of enactment of this*

3 **SEC. 3. INTERAGENCY COORDINATING COMMITTEE ON THE**
4 **VALIDATION OF ALTERNATIVE METHODS.**

(a) IN GENERAL.—The Interagency Coordinating Committee on the Validation of Alternative Methods (referred to in this Act as “ICCVAM”) shall be a permanent standing committee administered by the National Institute of Environmental Health Sciences of the National Institutes of Health under the National Toxicology Program Interagency Center for the Evaluation of Alternative Toxicological Methods.

13 (b) *PURPOSES.*—With respect to the use of animals in
14 toxicological tests, the purposes of ICCVAM described in
15 subsection (a) shall be to—

16 (1) *increase the efficiency and effectiveness of*
17 *Federal agency test method review;*

18 (2) *eliminate duplicative efforts and share expe-*
19 *riences between Federal regulatory agencies;*

20 (3) *optimize utilization of scientific expertise*
21 *outside the Federal Government;*

(4) ensure that new and revised test methods are validated to meet the needs of Federal agencies; and

24 (5) *reduce, refine, and replace the use of animals*
25 *in testing.*

1 (c) *COMPOSITION.*—*The ICCVAM described in sub-*
2 *section (a) shall be comprised of representatives from each*
3 *of the following:*

4 (1) *Agency for Toxic Substances and Disease*
5 *Registry.*

6 (2) *Consumer Product Safety Commission.*

7 (3) *Department of Agriculture.*

8 (4) *Department of Defense.*

9 (5) *Department of Energy.*

10 (6) *Department of the Interior.*

11 (7) *Department of Transportation.*

12 (8) *Environmental Protection Agency.*

13 (9) *Food and Drug Administration.*

14 (10) *National Institute for Occupational Safety*
15 *and Health.*

16 (11) *National Institutes of Health.*

17 (12) *National Cancer Institute.*

18 (13) *National Institute of Environmental Health*
19 *Sciences.*

20 (14) *National Library of Medicine.*

21 (15) *Occupational Safety and Health Adminis-*
22 *tration.*

23 (16) *Any other agency that develops, or employs*
24 *tests or test data using animals, or regulates on the*
25 *basis of the use of animals in toxicity testing.*

1 (d) *SCIENTIFIC ADVISORY COMMITTEE.*—

2 (1) *ESTABLISHMENT.*—*The National Institute of*
 3 *Environmental Health Sciences shall establish a Sci-*
 4 *entific Advisory Committee (referred to in this Act as*
 5 *the “SAC”) to advise the ICCVAM described in sub-*
 6 *section (a). The activities of the SAC shall be subject*
 7 *to provisions of the Federal Advisory Committee Act.*

8 (2) *MEMBERSHIP.*—*The SAC described in para-*
 9 *graph (1) shall be composed of—*

10 (A) *at least 1 knowledgeable representative*
 11 *having a history of expertise, development, or*
 12 *evaluation of new or alternative test methods*
 13 *from each of—*

14 (i) *the personal care, pharmaceutical,*
 15 *industrial chemicals, or agriculture indus-*
 16 *try, and any other industry that is regu-*
 17 *lated by the Federal agencies described in*
 18 *subsection (c); and*

19 (ii) *a national animal protection orga-*
 20 *nization established under section 501(c)(3)*
 21 *of the Internal Revenue Code of 1986; and*

22 (B) *representatives (selected by the National*
 23 *Institute of Environmental Health Sciences)*
 24 *from an academic institution, a State govern-*
 25 *ment agency, an international regulatory body,*

1 *or any corporation developing or marketing new*
2 *or alternative test methodologies, including con-*
3 *tract laboratories.*

4 *(e) DUTIES.—The ICCVAM described in subsection (a)*
5 *shall, consistent with the purposes described in subsection*
6 *(b)—*

7 *(1) review and evaluate existing and new alter-*
8 *native test methods, including batteries of tests and*
9 *test screens, that may be acceptable for specific regu-*
10 *latory uses, including the coordination of technical re-*
11 *views of proposed new or revised test methods of inter-*
12 *agency interest;*

13 *(2) facilitate appropriate interagency and inter-*
14 *national harmonization of acute and chronic toxi-*
15 *cological test protocols that encourage the reduction,*
16 *refinement, or replacement of animal tests;*

17 *(3) facilitate, promote, and provide guidance on*
18 *the development of validation criteria, validation*
19 *studies and processes for new and revised methods*
20 *and help promote the acceptance of such methods and*
21 *awareness of accepted methods by Federal agencies*
22 *and other stakeholders;*

23 *(4) file formal recommendations with each ap-*
24 *propriate Federal agency identifying specific agency*
25 *guidelines, recommendations, or regulations for each*

1 *new test, battery of tests, test screen, or endpoint re-*
 2 *viewed by the ICCVAM that may be appropriate for*
 3 *the reduction, refinement, or replacement of an ani-*
 4 *mal test required or recommended by that Federal*
 5 *agency for compliance with that agency's specific*
 6 *statutes, regulations, or guidelines, including filing*
 7 *recommendations for tests for a certain class of chemi-*
 8 *icals within a regulatory framework;*

9 *(5) consider for review and evaluation, petitions*
 10 *received from the public that identify a specific regu-*
 11 *lation, recommendation, or guideline, and that rec-*
 12 *ommend alternatives and provide scientific evidence*
 13 *of the potential of the alternatives for the purpose of*
 14 *carrying out the regulatory mandate in question;*

15 *(6) make final recommendations to agencies and*
 16 *make the responses from agencies regarding the final*
 17 *recommendations available to the public; and*

18 *(7) prepare an annual report to be made avail-*
 19 *able to the public on its progress to promote and as-*
 20 *sess validation of new and revised toxicological tests.*

21 **SEC. 4. FEDERAL AGENCY ACTION.**

22 *(a) IDENTIFICATION OF TESTS.—Not later than 180*
 23 *days after receipt of an ICCVAM test recommendation, each*
 24 *Federal agency carrying out a program that requires or rec-*
 25 *ommends acute or chronic toxicological testing shall—*

1 (1) *identify any relevant test requirement speci-*
2 *fied in a regulation or industry-wide guideline which*
3 *specifically, or in practice requires, recommends, or*
4 *encourages the use of an animal acute or chronic toxi-*
5 *cological test for which the ICCVAM test recommenda-*
6 *tion may be added or substituted; and*

7 (2) *forward the identification of such test to the*
8 *ICCVAM.*

9 (b) *ALTERNATIVES.—Each Federal agency shall pro-*
10 *mote and encourage the development and use of alternatives*
11 *to animal tests (including batteries of tests and test screens,*
12 *where appropriate) for the purpose of complying with Fed-*
13 *eral statutes, regulations, guidelines, or recommendations*
14 *(in each instance, and for each chemical class) if such tests*
15 *are found to be effective for generating data, in an amount*
16 *and of a scientific value that is at least equivalent to the*
17 *data generated from existing tests, for hazard identification,*
18 *dose-response assessment, or risk assessment purposes.*

19 (c) *TEST VALIDATION.—Each Federal agency shall en-*
20 *sure that any new or revised acute or chronic toxicity test,*
21 *including animal tests and alternatives, is determined to*
22 *be valid for its proposed use prior to requiring, recom-*
23 *mending, or encouraging the application of such test.*

24 (d) *REVIEW.—Not later than 180 days after receipt*
25 *of a formal recommendation from the ICCVAM, each Fed-*

1 eral agency shall review such recommendation and notify
2 the ICCVAM in writing of its findings.

3 (e) *RECOMMENDATION ADOPTION.*—Each Federal
4 agency, or its specific regulatory unit or units, shall adopt
5 the ICCVAM recommendation unless such Federal agency
6 determines that—

7 (1) the ICCVAM recommendation is not ade-
8 quate in terms of biological relevance for the regu-
9 latory goal authorized by that agency, or mandated
10 by Congress;

11 (2) the ICCVAM recommendation does not gen-
12 erate data, in an amount that is at least equivalent
13 to the data generated prior to such recommendation,
14 for the appropriate hazard identification, dose-re-
15 sponse assessment, or risk assessment purposes as the
16 method recommended or required by that agency;

17 (3) the agency does not employ, recommend, or
18 require testing for that class of chemical or for the
19 recommended endpoint; or

20 (4) the new or revised test method is unaccept-
21 able for satisfactorily fulfilling the test needs for that
22 particular agency and its respective congressional
23 mandate.

1 **SEC. 5. APPLICATION.**

2 (a) *APPLICATION.*—*This Act shall not apply to re-*
3 *search, including research performed using biotechnology*
4 *techniques, or research related to the causes, diagnosis,*
5 *treatment, control, or prevention of physical or mental dis-*
6 *eases or impairments of humans and animals using medi-*
7 *cally accepted methodologies.*

8 (b) *USE OF TEST METHODS.*—*Nothing in this Act*
9 *shall prevent a Federal agency from retaining final author-*
10 *ity for incorporating the test methods recommended by the*
11 *ICCVAM in the manner determined to be appropriate by*
12 *such Federal agency or regulatory body.*

13 (c) *LIMITATION.*—*Nothing in this Act shall be con-*
14 *strued to require a manufacturer that is currently not re-*
15 *quired to perform animal testing to perform such tests.*
16 *Nothing in this Act shall be construed to require a manufac-*
17 *turer to perform redundant, endpoint, specific testing.*

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A BILL

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Reported with an amendment